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**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re Application of:

Mohammed SAMJI, et al.

Serial No.: 10/691,841

Filed: October 23, 2003

For: SYSTEM AND METHOD FOR  
VIRTUAL FOLDER SHARING  
INCLUDING UTILIZATION OF  
STATIC AND DYNAMIC LISTS

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)  
) Group Art Unit: 2162  
)  
) Examiner: Anh Ly  
)  
) Docket No.: 003797.01275  
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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

U.S. Patent and Trademark Office  
Customer Service Window  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

Sir:

This Information Disclosure Statement is submitted for consideration by the United States Patent and Trademark Office in accordance with the duty of disclosure.

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- ☒ [X] A PTO/SB/08a form
- ☐ [ ] A Background Art Information Paper
- ☒ [X] A copy of each non-U.S. item of information listed on the PTO/SB/08a form
- ☐ [ ] Concise explanation of non-English language information
- ☐ [ ] concise explanation of relevance:
- ☐ [ ] English translation of foreign language information
- ☐ [ ] English language version of foreign patent office report citing information

☐ English language abstract(s) of foreign patent document(s)

☐ This application relies, under 35 U.S.C. §120, on the earlier filing date of U.S. Application Serial No. \*\*\*\*, filed \*\*\*. The information identified on the attached PTO 1449 form was submitted to and/or cited by the Patent and Trademark Office in this earlier application and, therefore, copies are not required to be provided in this application.

1. ☐ This Information Disclosure Statement is filed before the later of:

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- three months from the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or
- the mailing date of a first office action on the merits.

In accordance with 37 CFR 1.97(b), no fee is required.

2. ☒ This Information Disclosure Statement is filed after the events noted in paragraph 1, but before either:

- a final action under 37 CFR 1.113, or an action that otherwise closes prosecution,
- a Notice of Allowance under 37 CFR 1.311.

In accordance with 37 CFR 1.97(c), also enclosed is

☒ a fee under 37 CFR 1.17(p) for \$180.00; or

☐ a certification as stated below.

3. ☐ This Information Disclosure Statement is filed before payment of the issue fee, but after either

- a final action under 37 CFR 1.113, or an action that otherwise closes prosecution;
- a Notice of Allowance under 37 CFR 1.311.

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☐ the IDS processing fee set forth in 37 CFR 1.17(p)(1) in the amount of \$180.00.

Certification under 1.97(e)1 or 1.97(e)2

The undersigned states:

☐ Each item of Information contained in this Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing date of this Information Disclosure Statement (e1 certification); or

☐ No item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign Patent Office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing date of this Information Disclosure Statement (e2 certification).

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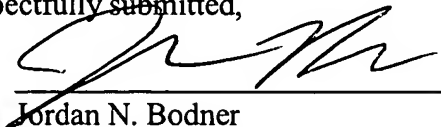
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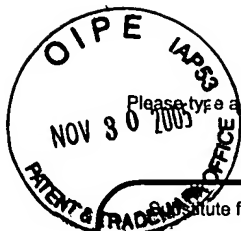
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It is respectfully requested that the Examiner fully consider each item of information, initial the enclosed Form PTO/SB08a in the appropriate place to indicate that the information has been considered, and return a copy of the initialed form to the undersigned in accordance with MPEP Section 609.

Respectfully submitted,

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PTO/SB/08A (08-00)

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

Application Number	10/691,841
Filing Date	October 23, 2003
First Named Inventor	Mohammed SAMJI
Group Art Unit	2162
Examiner Name	Anh Ly
Attorney Docket Number	003797.01275

**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
		5,802,516		SHWARTS, et al.	09-1998	
		5,933,139		FEIGNER, et al.	08-1999	
		6,061,692		THOMAS, et al.	05-2000	
		6,256,031		MEIJER, et al.	07-2001	
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		2002/0059199		HARVEY	05-2002	
		2002/0138582		CHANDRA, et al.	09-2002	
		2003/0093531		YEUNG, et al.	05-2003	
		2004/0193672		SAMJI, et al.	09-2004	

**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		A.T. McCray, et al., Extending the Role of Metadata in a Digital Library System, 05/19/1999, IEEE, pages 190-199.	
		Alexa T. McCray, et al., Principles for Digital Library Development, May 2001, ACM, pages 49-53.	
		Stelovsky, J., and C. Aschwanden, "Software Architecture for Unified Management of Event Notification and Stream I/O and Its Use for Recording and Analysis of User Events," <i>Proceedings of the 35<sup>th</sup> Annual Hawaii International Conference on System Sciences</i> , IEEE Computer Society, Big Island, Hawaii, January 7-10, 2002, p. 1862-1867.	
		"About Managing Messages With Rules", Microsoft® Outlook® 2003 Help file, 3 pp.	
		"Trillian/Trillian Pro IM Clients" Products Description, ©1999-2004 Cerulean Studios, < <a href="http://www.ceruleanstudios.com">http://www.ceruleanstudios.com</a> > [retrieved April 30, 2004].	
		International Search Report of WO2004/097638 A1 (McKee, et al.) dated November 11, 2004.	

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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